

## ▶ DIFC Regulatory Overview

This course provides you with an introduction to the rapidly developing regulatory environment in the Dubai International Financial Centre (DIFC).

The course will clarify the obligations that apply to you and your organisation in that jurisdiction.

### Course Highlights

- Workshop style training course
- Interactive learning environment
- Maximum of 16 attendees per course
- Taught by a trainer who previously worked for the DFSA
- Real-life examples used
- Constitutes 3 hours of learning for the CISI CPD scheme

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## ▶ Who should attend?

This course is relevant to all staff working in the DIFC.

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## ▶ Course details

- The history of the regulatory regime in the UAE
- The relevant Federal Laws, decrees and the constitution
- The regulatory organisation: pre and post DIFC
- International recognition
- The DFSA and the DIFC
- The aims and objectives
- DFSA's approach to regulation and main target areas
- The Rule Books

- An overview of the DFSA Conduct of Business Rules
  - The Authorisation process
  - Enforcement
  - A brief overview of the Central Bank and SCA
  - An explanation of how Central Bank, SCA, and the DFSA interact
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## ▸ Learning outcomes

After attending this session, attendees will be able to:

- Describe the DIFC regulatory environment, particularly the role of the DFSA, including:
    - When, how and why it was established
    - Its structure, responsibilities and powers
  - Demonstrate an understanding of the structure of the DFSA Rulebook, including the:
    - Application and Licensing process
    - Firm's scope of business and category
    - Conduct of Business rules
    - Powers and Enforcement
  - Describe the obligation on Authorised firms to identify and manage business risks
  - Understand the relationship between the DFSA and the other Regulatory Bodies e.g. Central Bank and SCA.
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## ▸ Delivery Methods [available methods depend on the course]



## Public Course

If you only have a few staff who require a particular training course then why not send them on one of our public courses. We insist on limiting the number of attendees on our public courses to facilitate an interactive training environment. This ensures that there's always a chance to put your questions to a genuine expert, as well as to share experiences with other delegates.

Take a look at our [Future Dates/Booking](#)

## Bring In-House

If you have a number of people who require the training, you might find it more economical to have the training at your offices. Our trainers are armed with all the equipment and materials necessary. All you need to do is supply the room, and after the course, we'll send your certificates of attendance in the post.

In addition in-house courses can be tailored to meet the precise needs of your business. This could mean tailoring a course for a business unit; combining elements of different courses to match your exact specification; or producing a course from scratch.

### Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

## Off-site Training

Our training facilities can also be used for company 'off-site training'. So if you want to have an 'in-house style' training session away from your own offices, or you simply don't have the facilities, then you can use ours.

### Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

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## ▾ Trainers

Barry O'Brien

Barry graduated with an MSc from University College Cork and began his career teaching for 3yrs before moving into Lecturing. Barry has been working in financial services since 2005 with AIB Group and held various roles prior to specialising in Compliance Strategy. His role involved working with the Central Bank and Regulator in critically reviewing and agreeing numerous regulation consultation papers. He has managed various AML and Conduct of Business training programs within the banks retail branch network, while also designing and delivering quarterly compliance training to the banks senior executives. In addition Barry is a Qualified Financial Adviser (QFA) and a member of the Institute of Bankers. Barry has joined the CCL Academy as a trainer for the AML and Compliance training programs, while also provide ongoing support to the consultancy division.

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