

▶ **CISI - Operational Risk**

The Operational Risk paper provides an ideal introduction to the world of operational risk and ensures that candidates have an understanding of operational risk as it relates to the needs of operations and administration staff.

Course Highlights

Exam Structure

One hour exam with 50 multiple choice questions.
Pass mark - 70%.

CISI Fee's

Exam & Workbook - 141 GBP (payable directly to the SCA or the CISI)

In addition, all new applicants will be required to pay a one-off CISI registration fee of 25GBP.

▶ **Who should attend?**

This examination is appropriate for staff who have been working in the industry for a period of up to 18 months.

In addition, the SCA Licensing Regime requires the following Job Functions to pass this qualification or the Risk in Financial Services paper:

- Trading Manager
- Operations Manager
- Internal Controller
- Financial Analyst

▶ **Syllabus**

Element 1 - Risk Basics

Element 2 - Credit Risk

Element 3 - Market Risk

Element 4 - Nature of Operational Risk

Element 5 - Causes, Events and Impact of Operational Risk

Element 6 - Operational Risk Cycle

Element 7 - Support and Control Functions

Element 8 - Enterprise Risk Management

Element 9 - Achieving Common Standards and Protection

- Taught by a leading CISI trainer with a combination of practitioner and training experience
- Limited class sizes, to ensure interactive teaching environment

▸ **Delivery Methods [available methods depend on the course]**

Bring In-House

If you have a number of people who require the training, you might find it more economical to have the training at your offices. Our trainers are armed with all the equipment and materials necessary. All you need to do is supply the room, and after the course, we'll send your certificates of attendance in the post.

In addition in-house courses can be tailored to meet the precise needs of your business. This could mean tailoring a course for a business unit; combining elements of different courses to match your exact specification; or producing a course from scratch.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

Off-site Training

Our training facilities can also be used for company 'off-site training'. So if you want to have an 'in-house style' training session away from your own offices, or you simply don't have the facilities, then you can use ours.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

▸ Trainers

Martin Mitchell

Martin has trained CISI qualifications for almost 20 years, and is one of the world's most experienced CISI trainers. He is expert at keeping his audience motivated and focused on the task, leading to exceptional success rates. Martin has run numerous courses in the UAE – he regularly tutors candidates for the International Investment Award, the DIFC Rules and Regulations examination, and other CISI qualifications including the Certificates in Investment Management, Securities and Derivatives.

Martin is a fellow of the Chartered Institute for Securities & Investment (CISI) and a qualified accountant with the Institute of Chartered Accountants of England and Wales (ICAEW). Martin qualified as an accountant with a forerunner of the global accountancy firm Ernst and Young in London, where he was involved in auditing financial institutions that subsequently became parts of HSBC, UBS and Citigroup. After qualifying, Martin started training others, initially training aspiring accountants and then moving into training in the City of London. It was during this period that Martin first started training towards the Chartered Institute for Securities & Investment examinations, introducing innovative training techniques and materials.

Martin rose to become Managing Director of a substantial City of London training entity, with a full-time faculty of 15. During his tenure, Martin also began training internationally – particularly in the Middle East and Asia.

During the internet boom, Martin became heavily involved in e-learning and developed a

'blended' learning course for the CISI's Certificate in Securities whilst working as Global Head of Content at venture capital funded firm Wide Learning.

Martin then spent two years at the Chartered Institute for Securities & Investment where he was an Associate Director and ran the publications division, the business development team and numerous train-the-trainer courses. Martin continues to be very active at the CISI, he is senior editor for a number of the CISI's official workbooks, runs train-the-trainer courses to assist in the CISI's accreditation process for training organisations, and sits on the CISI's Examination Board.
