

International Anti-Money Laundering Officer Certificate

Financial crime is a major corporate and social issue and of extremely high focus of regulators worldwide. Indeed, many regulators have turned their supervisory spotlight on firms' anti-money processes, systems and controls and also on those who are involved or responsible for the prevention, detection and overall protection of their firm against all aspects of financial crime.

Recent cases of fines, sanctions and reputational damage in relation to money laundering systems failures and recent significant frauds form the background that international standards are expected to be understood and demonstrated. Such standards include but are not limited to those set by FATF, OECD and other international standard setters.

CCL have developed this programme to meet the needs of today's current or potential anti-money laundering personnel, fraud prevention personnel, compliance professionals and those with responsibility for regulatory compliance.

This certificate has been endorsed by the Chartered Institute for Securities & Investment (CISI) and provides attendees with the practical skills and knowledge required to carry out the prevention and detection of financial crime including those relating to anti-money laundering and the countering of the financing of terrorism, in line with international standards. The Certificate has been designed by Anti-Money Laundering Professionals, Compliance Officers, former Regulators and International Monetary Fund (IMF) consultants who between them have over 30 years expertise.

Course Highlights

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- Workshop style training course
 - CISI Endorsed
 - Interactive learning environment
 - Real-life examples used
 - Constitutes 12 hours of learning for the CISI CPD scheme
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Who should attend?

The certificate programme is ideal for all participants who seek understanding of the growing significance of the risks of financial crime, heightened regulation and the need for compliance, including:

- Anti-money laundering and fraud prevention officers
 - Personnel responsible for the supervision of prevention and detection systems and controls in relation to financial crime including anti-money laundering and fraud prevention
 - Compliance professionals
 - Regulators
 - Legal, Audit, Risk specialists
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Syllabus

The Business Essentials

- Corporate governance
- Ethical conduct: setting the tone from the top
- Regulators expectations of Senior Management

Governing Standards

- International standards and standard setters including FATF and OECD
- UN, OFAC and other sanctions.
- Practical implementation
- International impact on your region

Money Laundering

- Due diligence: know-your-customer
- A risk based approach to AML and CTF
- PEPs and other higher risk business

Terrorist Financing

- Defining Terrorist Financing
- FATF's 9 Special Recommendations
- How terrorism is funded

- Preventing and detecting terrorist financing

Identifying & Reporting Suspicious Activity

- Warning Signs
- Laundering Methodology
- Identifying Suspicious Activity
- Internal Reporting
- External Reporting

Preventative Measures

- Policies and procedures
- Essential systems and controls
- Competence, fitness and propriety
- Staff recruitment and vetting
- Making use of technology

The Money Laundering Reporting Officer

- Apportionment of responsibility
- Functions, responsibilities and reporting lines
- Principal tasks
- Key skills and attributes
- Obligation to report suspicious activity

Returning to the Office – What Next?

- 10 point Action Plan
- Current Trends
- Relationship with Management
- Preparing for a Regulatory Visit
- Engaging with the Stakeholders

Assessment

- Multiple Choice Questions
 - Short Answer Questions
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▸ Delivery Methods [available methods depend on the course]

Public Course

If you only have a few staff who require a particular training course then why not send them on one of our public courses. We insist on limiting the number of attendees on our public courses to facilitate an interactive training environment. This ensures that there's always a chance to put your questions to a genuine expert, as well as to share experiences with other delegates.

Take a look at our [Future Dates/Booking](#)

Bring In-House

If you have a number of people who require the training, you might find it more economical to have the training at your offices. Our trainers are armed with all the equipment and materials necessary. All you need to do is supply the room, and after the course, we'll send your certificates of attendance in the post.

In addition in-house courses can be tailored to meet the precise needs of your business. This could mean tailoring a course for a business unit; combining elements of different courses to match your exact specification; or producing a course from scratch.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

Off-site Training

Our training facilities can also be used for company 'off-site training'. So if you want to have an 'in-house style' training session away from your own offices, or you simply don't have the facilities, then you can use ours.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

▀ Trainers

Barry O'Brien

Barry graduated with an MSc from University College Cork and began his career teaching for 3yrs before moving into Lecturing. Barry has been working in financial services since 2005 with AIB Group and held various roles prior to specialising in Compliance Strategy. His role involved working with the Central Bank and Regulator in critically reviewing and agreeing numerous regulation consultation papers. He has managed various AML and Conduct of Business training programs within the banks retail branch network, while also designing and delivering quarterly compliance training to the banks senior executives. In addition Barry is a Qualified Financial Adviser (QFA) and a member of the Institute of Bankers. Barry has joined the CCL Academy as a trainer for the AML and Compliance training programs, while also provide ongoing support to the consultancy division.

Nigel Pasea

Nigel Pasea brings to the firm over 30 years experience as an advisor, regulator and practitioner specialising in compliance and corporate governance in the financial services industry.

Nigel joined CCL from UBS where he was the Chief Operating Officer of the MENA region since 2006 and responsible, inter alia, for the setting up of the firm's offices in Dubai, Saudi Arabia and Qatar and the development of the firm's compliance and control infrastructure. Prior to moving to Dubai, Nigel was the Head of Compliance for UBS Investment Bank in Switzerland and Deputy Head of Compliance for the EMEA Region. Prior to UBS Nigel was the European Head of Compliance at Bear Stearns International and the Global Head of Compliance and COO of General Re Financial Products.

In addition Nigel worked for 6 years at the UK regulator, the Securities and Investments Board (now the Financial Services Authority) as Head of the regulator's Authorisation and Compliance Department. Nigel started his career at Deloitte and Touche where he spent 8 years, qualifying as a Chartered Accountant in 1982.

Nigel's experience covers investment banking and capital markets, wealth management and asset management. The nature of his previous roles has meant that he has worked in all major financial centres across Europe, Asia and the Middle East.

Nigel graduated from the London School of Economics in 1979, was awarded an MBA with Distinction from the London Business School in 1993 and is a Fellow of the Institute

of Chartered Accountants in England and Wales.
