

▶ **Anti-Money Laundering Training for Central Bank Regulated Firms**

All firms covered by the Money Laundering regulations must provide staff with the tools and resources to combat Money Laundering and Terrorist Financing. Training in the Anti-Money Laundering procedures is a fundamental part of this process.

This session provides staff with an understanding of the requirements under the law to meet federal and regulatory requirements.

Course Highlights

- Workshop style training course
- Interactive learning environment
- Taught by a trainer with 20 years of relevant experience
- Real-life examples used
- Constitutes 3 hours of learning for the CISI CPD scheme

▶ **Who should attend?**

All relevant staff should receive Money Laundering and Counter Terrorist Financing awareness training at least once a year.

▶ **Course details**

- Central Bank Money Laundering and Terrorist Financing Regulations
- Definition of Money Laundering and estimates of money laundered
- The applicable legislation and laws
- Anti-Money Laundering and Counter Terrorism Financing Rules
- Know Your Client (KYC): the main principles

- Exemptions from KYC
 - The role of the Financial Action Task Force(FATF) and MENAFATF
 - The risk based approach
 - Verifying identification: Individuals and Companies
 - Politically Exposed Persons
 - Suspicious Activity Reporting
 - The role of the AML Officer
 - Offences and penalties
 - Awareness and training
 - Record keeping
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▸ Learning outcomes

At the end of this session, you will be able to:

- Understand the concept of Money Laundering and Terrorist Financing and the extent of the problem
 - Know and understand the applicable legislation and Central Bank Rules
 - Know and understand the responsibilities on the firm and your personal obligations
 - Carry out the risk based "Know Your Client" procedure
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▸ Delivery Methods [available methods depend on the course]

Bring In-House

If you have a number of people who require the training, you might find it more economical to have the training at your offices. Our trainers are armed with all the equipment and materials necessary. All you need to do is supply the room, and after the course, we'll send your certificates of attendance in the post.

In addition in-house courses can be tailored to meet the precise needs of your business. This could mean tailoring a course for a business unit; combining elements of different courses to match your exact specification; or producing a course from scratch.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

Off-site Training

Our training facilities can also be used for company 'off-site training'. So if you want to have an 'in-house style' training session away from your own offices, or you simply don't have the facilities, then you can use ours.

Enquiries

Please complete [the following form](#) and we'll get in touch to discuss your requirements in detail.

▀ Trainers

Clare Curtis

Clare's specialisation is training individuals on the various roles and responsibilities of the key regulated functions in a financial services firm - such as the role of the Compliance Officer and Money Laundering Reporting Officer.

Clare has been in the Financial Services industry since 1996. She has held the role of registered Compliance Officer, MLRO and Data Protection Officer for firms in the investment banking and private equity sectors as well as being employed by the London Stock Exchange ("LSE") monitoring the market for abuses and assisting member firms with ongoing Compliance with LSE rules.

During 2006 and 2007 Clare worked for the Dubai Mercantile Exchange ("DME") as Director of Membership Surveillance and was instrumental in setting up the DME's membership department including advising potential members on applications and Dubai regulations as well as helping to establish the DME's surveillance procedures. Clare joined CCL's Dubai office in October 2007.

Clare is a Member of the Chartered Institute for Securities & Investment (CISI) and holds a LLB Hons Law degree from Kings College London.

Christopher Hobbs

Christopher Hobbs has held senior compliance and anti-money laundering positions in a number of US and European financial services institutions including Lehman Brothers, Smith Barney, ING Barings and Danske Bank.

With an accountancy background and more than 20 years' experience in investment banking, securities, derivatives, corporate banking and asset management, Christopher has an excellent understanding of the issues facing financial services firms and provides expert technical advice and effective implementation strategies across a broad range of regulatory compliance issues. He has engaged closely, and enjoys an excellent reputation, with regulators, exchanges and law enforcement agencies.
